PTO/SB/33 (11-08)
Approved for use through 12/31/2008, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Ligher the Panament Reduction Act of 1995, no parsons are required to respond to a collection of information unless it displays a valid OMB control number

Doc Code: AP.PRE.REO

| Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.   |                                     |                                |                     |
|---|-------------------------------------|--------------------------------|---------------------|
| PRE-APPEAL BRIEF REQUEST FOR REVIEW   |                                     | Docket Number (Optional)       |                     |
|   |                                     | 060258-0282898                 |                     |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] | Application Number                  |                                | Filed               |
|   | 09/870,277                          |                                | May 30, 2001        |
| on  | First Named Inventor                |                                |                     |
| Signature   | PEDERSEN, et al.                    |                                |                     |
|   | Art Unit                            |                                | Examiner            |
| Typed or printed name   | 3691                                |                                | CAMPBELL, Kellie L. |
| with this request.  This request is being filed with a notice of appeal.  The review is requested for the reason(s) stated on the attached sheet(s).  Note: No more than five (5) pages may be provided.  |                                     |                                |                     |
| I am the  |                                     |                                |                     |
| applicant/inventor.   | /Larry J. Hume/                     |                                |                     |
| assignee of record of the entire interest.  |                                     | Signature                      |                     |
| See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.<br>(Form PTO/SB/96)  | Larry J. Hume Typed or printed name |                                |                     |
| · · ·   | "                                   |                                |                     |
| attorney or agent of record. Registration number 44,163   |                                     | 703.770.7981  Telephone number |                     |
|   |                                     | reiep                          | onone number        |
| attorney or agent acting under 37 CFR 1.34.   | November 23, 2009                   |                                |                     |
| Registration number if acting under 37 CFR 1.34   | Date                                |                                |                     |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.   |                                     |                                |                     |
| *Total of forms are submitted   |                                     |                                |                     |

This collection of information is required by 36 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 36 U.S.C. 123 and 37 CFR 11.1.1 1.14 and 41.6. This collection is estimated in late 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the filmmation Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Abaxandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO'V, Mall Stop AR, Commissioner for Patents, P.O. Box 1450, Abaxandria, VA 22313-1450.

#### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S. C. (2b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S. C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of: PEDERSEN, Confirmation Number: 7410

ET AL

Application No.: 09/870,277 Group Art Unit: 3691

Filed: May 30, 2001 Examiner: CAMPBELL, Kellie L.

Title: DEPOSITING METHOD AND ARRANGEMENT

## ARGUMENTS SUBMITTED WITH PRE-APPEAL BRIEF CONFERENCE REQUEST

#### MS AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action dated July 22, 2009, and concurrent with the "Notice of Appeal", "Pre-Appeal Brief Conference Request", Amendment after Final Rejection, and Petition for 1-Month Extension of time, all filed concurrently filed herewith, Appellant submits the following arguments for consideration by the Appeal Conferees. Claims 2-5, 8, 9, 11-14, 17 and 20-22 are pending, and claims 2, 3, 8, 12, 14 and 20 are independent.

## I. REJECTIONS TO BE REVIEWED UPON APPEAL

- A. Indefiniteness rejections of claims 2 and 22 under 35 U.S.C. §112, second paragraph, as allegedly being indefinite.<sup>1</sup>
- B. Unpatentability rejection of claims 3-14, 17, and 20 under 35 U.S.C. §103(a), as allegedly being unpatentable over Fougnies, et al. (US 5,854,975) in view of Segal, et al (US 6,167,251).
- C. Unpatentability rejection of claims 21 and 22 under 35 U.S.C. §103(a), as allegedly being unpatentable over Fougnies in view of Segal and in further view of Joyce (US 6.320.947 B1).

Due to page limits of this Request, the Arguments presented herein are directed only to the unpatentability rejections of independent claims 3, 8, and 12 (claim 2 is allowable), i.e., Section I.B, above. Similar arguments pertain to the rejection of each of the remaining independent claims 14 and 20, and the remaining dependent claim, i.e., claim 17, and the Appeal Conferees are asked to extend the arguments presented to the claims that are not explicitly addressed herein.

### II. ARGUMENTS - INDEPENDENT CLAIMS 3, 8, 12, 14 AND 20

A. Appellants submit that the indefiniteness rejection of claims 2 and 22 has been rendered moot in view of the concurrently-filed Amendment after Final Rejection.

<sup>&</sup>lt;sup>1</sup> This rejection will be addressed on Appeal only if the Examiner refuses entry of the clarifying amendment to claims 2 and 22 made in the Amendment after Final Rejection filed concurrently herewith.

<sup>&</sup>lt;sup>2</sup> Dependent claim 7 should not be included in this rejection, as it depends from claim 2, which would be allowable if the indefiniteness rejection is overcome, thus making claim 7 conditionally allowable as well. 40/5194784;

Appl. Scr. No. 09/870,277 Attorney Docket: 060258-0282898 Arguments Submitted with Pre-Appeal Brief Conference Request

In the event that the Examiner denies entry of the accompanying Amendment after Final Rejection, Appellants submit that the conditional recitation in the form of "if" <a specific condition occurs, then an action is taken>, is not indefinite. A person of ordinary skill in the art would understand this common construct in claim drafting, in particular, with respect to claims directed to computer-implemented methods as in the amplication on Appeal.

# B. Appellants submit that the Examiner has not made a prima facie case for unpatentability of claims 3-14, 17, and 20 over Fougnies in view of Segal.

## 1. Specific Deficiencies of the References with Respect to the Claims

Appellants submit that at least the **bold**, **italicized** portions of the independent claims identified below are not taught or suggested by the combination of references set forth by the Examiner. Since all the independent claims on Appeal recite a solution in which a way to update the credit is selected among at least two different ways based upon on a voucher type comparison between the new voucher and the last-used voucher. Appellants submit that the independent claims are patentable over the art of record.

Each of the independent claim on Appeal is submitted as differing from allowable claim 2 only in the "second way" to update the account balance. Appellants submit that the art of record is clearly deficient with respect to showing any "second way" to update the balance.

### a. Independent Claim 3

Neither Fougnies nor Segal, alone or in combination, disclose, teach, or suggest a computerimplemented method for calculating a subscriber's account balance in a telecommunications system where at least two different types of vouchers having the same type of a credit value can be used for making deposits in the account, which vouchers may be bought, the types of the vouchers differing from each other at least so that a certain amount of a calling time has different prices in different types of the vouchers, wherein the method includes, inter alia, "defining, to a memory, at least two different ways of updating the account balance for the same type of a credit value, wherein the first way to update comprises calculating, by a processor coupled to the memory, the account balance by adding a value of a new voucher to the credit in the account, and the second way to update comprises determining a factor, other than one, multiplying the credit in the account with the factor, adding the result of said multiplication to the credit value of the new voucher, and setting the account balance to be the result of said addition; maintaining information in a database separate from account balance information, said information indicating the type of a last used voucher of the subscriber; receiving a deposit identifying a new voucher which the subscriber is going to use to update his/her credit; determining, by the processor, the type of the new youcher: determining, by the processor, the type of the last used youcher of the subscriber: comparing, by the processor, the type of the new youcher with the type of the last used youcher of the

Appl. Scr. No. 09/870,277 Attorney Docket: 060258-0282898 Arguments Submitted with Pre-Appeal Brief Conference Request

subscriber; and if said vouchers are of the same type, using the processor to calculate the first way to update the account balance; and; if said vouchers are of a different type of vouchers having the same type of a credit value, using the processor to calculate the second way to update the account balance," as recited in independent claim 3 (emphasis added).

#### b. Independent Claim 8

Furthermore, neither Fougnies nor Segal, alone or in combination, disclose, teach, or suggest a telecommunications system in which a subscriber can pre-pay for the subscriber's calls by making deposits in the subscriber's account using at least two different types of vouchers having the same type of a credit value, wherein said vouchers may be bought, the types of the vouchers differing from each other at least so that a certain amount of a calling time has different prices in different types of the vouchers, wherein the telecommunications system includes, inter alia, "a database configured to contain voucher-specific information and subscriber-specific information; and a network element connectable to the database and comprising a memory, a processor, and an interface for user interaction, the network element being configured to: obtain, from the database, and in response to the subscriber making a deposit, voucherspecific information and a subscriber's subscriber-specific information; determine, by using the obtained information, a type of a last used voucher of the subscriber and the type of a new voucher which the subscriber is going to use to update the subscriber's account balance; compare the type of the last used voucher with the type of the new voucher; apply a first method stored in the memory and executed by the processor to update the account balance in a first way if the last used voucher and the new voucher are the same type, wherein the first way comprises calculating the account balance by adding a credit value of a new youcher to the credit in the account; detect a change of youcher if the last used youcher and the new voucher are of different type of vouchers having the same type of credit value; and in response to said detection, update the account balance in a second way different from the first way, wherein the second way comprises calculating the account balance by setting the account balance to be the credit value of the new voucher," as recited in independent claim 8 (emphasis added).

## c. Independent Claim 12

Still further, neither Fougnies nor Segal, alone or in combination, disclose, teach, or suggest a network element for a telecommunications system where a subscriber of the system can pre-pay for the subscriber's calls by making deposits in the subscriber's account using at least two different types of vouchers having the same type of a credit value, which vouchers may be bought, the types of the vouchers differing from each other at least so that a certain amount of a calling time has different prices in different types of the vouchers, wherein the network element includes, inter alia, "a memory in which the account balance, and information indicating, subscriber-specifically, a type of a voucher last used by the

Appl. Scr. No. 09/870,277 Attorney Docket: 060258-0282898 Arguments Submitted with Pre-Appeal Brief Conference Request

subscriber are maintained, said information relating to the type of voucher last used being maintained separate from the account balance; a processor coupled to the memory and configured to: determine the type of a voucher last used by the subscriber; determine the type of the new voucher which the subscriber is going to use to update the subscriber's account balance; compare the type of the voucher last used by the subscriber with the type of the new voucher, calculate the account balance by adding a credit value of a new voucher to the credit in the account if said vouchers are of the same type, and calculate the account balance by setting the account balance to be the credit value of the new voucher if said vouchers are of a different type of vouchers having the same type of a credit value," as recited in independent claim 12 (emphasis added).

## 2. Discussion of the Unpatentability Rejections and the Cited Art

By way of background, various embodiments and aspects of Appellants' disclosed and claimed invention are directed to a method, arrangement, and network element that make the change of subscription type easy for telecommunications system subscribers using prepaid subscriptions. Information indicating the types of a last-used voucher type and a new voucher type is maintained and used to detect a change of subscription type, and to select the proper way to update the credit to the subscriber's account.

In the Office Action of December 13, 2007, i.e., three Office Actions ago, the previous Examiner cited Fougnies and Segal in the unpatentability of the independent claims. These rejections were previously overcome, resulting only in §101 and §112, ¶2 rejections in the Office Action previous to the current Final Office Action.

Notwithstanding the lack of compact prosecution due to the imposition of previously overcome references, Fougnies fails to teach two different ways of updating the credit, the ways differing such that if the same value is used, a first way to update will give a first amount of updated credit, and a second way to update will give a second amount of updated credit, wherein the second amount is different than the first amount. Thus, Fougnies fails to teach Applicants' claimed different ways of updating. Further, the credit balance of Fougnies is incapable of indicating the type of a voucher among voucher types having the same type of values (and thereby providing the same type of balance credits). Thus Fougnies also fails to disclose Applicants' variously claimed maintenance of information, separate from credit information, that indicates the type of a last used voucher of the subscriber.

The Examiner admits that Fougnies fails to teach the voucher types have the same type of value, and asserts that Segal makes up for this admitted deficiency by teaching prepaid different airtime cartridges which can differ based on time allotted. The Examiner goes on to assert that it would have been obvious to person of ordinary skill in the art to modify the voucher types of Fougnies (airtime and monthly) to include that vouchers

are, instead, of the same currency and updated differently based on price per amount of time because it also provides for updating a balance based on the plurality of available types of pre-paid phone cards available.

Notwithstanding whether or not this characterization of Segal is correct, Appellants submit that the combination of Fougnies and Segal would simply teach a person of ordinary skill in the art that different types of vouchers having the same type of a credit value can be bought, but only that the type of voucher with which an account is updated is taken into account when the account balance is updated. Thus, the suggested combination of Fougnies with Segal at least fails to disclose various features of the independent claims as discussed in detail above with specificity to the claims on Appeal, and which can be seen to generally relate to having different first and second updating methods, determining a type of last voucher used, and comparing the type of the new voucher and the last used voucher when updating the account balance, as discussed above in further detail.

### C. Appellants submit that the Examiner has not made a prima facie case for unpatentability of claims 21-22 over Fougnies and Segal in view of Joyce.

Appellants submit that at least the **bold, italicized** portions of independent claim 12, identified above, are not taught or suggested by the combination of references set forth by the Examiner in this rejection, such that the rejection should be reversed.

### III. CONCLUSION

Accordingly, since the applied art does not teach or suggest all the claimed limitations, reversal of the Examiner by the Appeal Conferees and allowance of independent claims 3, 8, 12, 14 and 20 are respectfully requested. In addition, dependent claims 4-6, 9-11, 13, 17, 21, and 22 variously and ultimately depend from allowable independent claims 3, 8, 12, 14, and 20, and are submitted as being allowable at least on that basis, without further recourse to the patentable features recited therein.

Date: November 23, 2009 Respectfully submitted,

Electronic Signature: /Larry J. Hume/ Larry J. Hume, Reg. No. 44,163 PILLSBURY WINTHROP SHAW PITTMAN LLP P.O. Box 10500 McLean, VA 22102 (703) 770-7900 (switchboard) (703) 770-7981 (direct) (703) 770-7901 (fax) e-mail: \_Larry,Hume@pillsburylaw.com